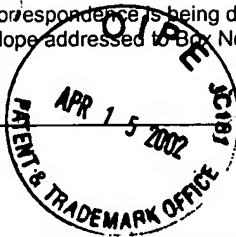


I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as first class mail in an envelope addressed to Box Non-Fee Amendment, the Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Date: April 4, 2002

By:

*Carol A. See*  
Carol A. See



Docket No. GC698

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:

Dunn-Coleman et al.

Serial No.: 10/026,994

Filed: DECEMBER 18, 2001

For: EGV1 ENDOGLUCANASE AND NUCLEIC ACIDS  
ENCODING THE SAME

Group Art Unit: 1633

Examiner: Unassigned

Preliminary Amendment

Box Non-Fee Amendment  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

Prior to considering the above-captioned application, please enter the following amendments.

In the Claims:

Please amend the claims as follows:

26. A method of expressing a heterologous polypeptide having endoglucanase activity in an *Aspergillus* species, comprising:

- (a) Providing a host *Aspergillus* with an expression vector comprising a polynucleotide encoding a signal sequence linked to a polynucleotide encoding a heterologous endoglucanase, thereby encoding a chimeric polypeptide;
- (b) Cultivating said host *Aspergillus* under conditions suitable for said *Aspergillus* to produce said chimeric polypeptide, wherein said chimeric polypeptide is produced.

27. A method of producing ethanol, said method comprising the steps of:

- a) contacting a biomass composition with an enzymatic composition comprising endoglucanase 6 to yield a sugar solution;
- b) adding to the sugar solution a fermentative microorganism; and

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Date: April 4, 2002

By: Carol A. See  
Carol A. See

Docket No. GC698



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:

Dunn-Coleman et al.

Serial No.: 10/026,994

Filed: DECEMBER 18, 2001

For: EGVI ENDOGLUCANASE AND NUCLEIC ACIDS  
ENCODING THE SAME

*[Handwritten initials]*

Group Art Unit: 1633

Examiner: Unassigned

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TRANSMITTAL LETTER

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find the following document: Preliminary Amendment.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC698). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: April 4, 2002

Victoria L. Boyd  
Victoria L. Boyd  
Registration No. 43,510

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